

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

(see Brew et al  
Abstract 1989)

MURRAY  
1992

71

*Journal of Neuroimmunology*, 40 (1992) 71-80  
© 1992 Elsevier Science Publishers B.V. All rights reserved 0165-5728/92/\$05.00

INI 02224

## Inter-relationships between quinolinic acid, neuroactive kynurenines, neopterin and $\beta_2$ -microglobulin in cerebrospinal fluid and serum of HIV-1-infected patients

Melvyn P. Heyes<sup>a</sup>, Bruce J. Brew<sup>b,1</sup>, Kuniaki Saito<sup>a</sup>, Bonnie J. Quearry<sup>a</sup>,  
Richard W. Price<sup>b,2</sup>, Kristin Lee<sup>a</sup>, Ravi B. Bhalla<sup>c</sup>, Margaret Der<sup>d</sup>  
and Sanford P. Markey<sup>a</sup>

<sup>a</sup> Section on Analytical Biochemistry, Laboratory of Clinical Science, NIMH, Bethesda, MD, USA. <sup>b</sup> Department of Neurology,  
<sup>c</sup> Department of Chemistry, Memorial Sloan-Kettering Cancer Center, New York, NY, USA.

and <sup>d</sup> Department of Nuclear Medicine, NIH, Bethesda, MD, USA

(Received 22 January 1992)

(Revised, received 26 March 1992)

(Accepted 27 March 1992)

**Key words:** Quinolinic acid; Kynurenic acid; L-Tryptophan; L-Kynurenine; Indoleamine-2,3-dioxygenase; Pterine;  $\beta_2$ -Microglobulin; NMDA receptor; AIDS dementia complex; Interferon- $\gamma$

### Summary

Quinolinic acid (QUIN) is a neurotoxic *N*-methyl-D-aspartate receptor agonist and an L-tryptophan metabolite of the kynurenine pathway. Increased concentrations of QUIN occur in both cerebrospinal fluid (CSF) and blood of patients infected with human immunodeficiency virus (HIV)-1, particularly those with neurologic disturbances. In the present study of HIV-1 infected patients in Walter Reed stages 4, 5 and 6, reductions in L-tryptophan accompanied proportional increases in L-kynurenine and QUIN in both serum and CSF. Further, close inter-correlations exist between QUIN, kynurenic acid and L-kynurenine with both  $\beta_2$ -microglobulin and neopterin in CSF and serum. These correlations support the hypotheses that the kynurenine pathway is activated in association with inflammation and induction of indoleamine-2,3-dioxygenase. There were no relationships between CSF QUIN, L-kynurenine or kynurenic acid with the ratio of serum:CSF albumin concentrations, which indicates that the increases in CSF QUIN, L-kynurenine or kynurenic acid were not dependent on a breakdown of the blood-brain barrier. Kynurenic acid is also a kynurenine pathway metabolite that can attenuate the excitotoxic effects of QUIN when present in higher molar concentrations. While CSF kynurenic acid levels were increased in HIV-1-infected patients, the magnitude of the increases were smaller than those of QUIN and the molar concentrations of kynurenic acid were consistently lower than QUIN by at least one order of magnitude. We conclude that immune activation increases the levels of neuroactive kynurenines within the central nervous system of HIV-1-infected patients secondary to activation of indoleamine-2,3-dioxygenase.

Correspondence to: M.P. Heyes, Section on Analytical Biochemistry, Laboratory of Clinical Science, Building 10, Room 3D40, National Institute of Mental Health, Bethesda, MD 20892, USA.

<sup>1</sup> Present address: Department of Neurology and Centre for Immunology, St. Vincent's Hospital, Sydney, Australia.

<sup>2</sup> Present address: Department of Neurology, University of Minnesota, Minneapolis, MN, USA.

EXHIBIT B

①

## Introduction

Substantially increased concentrations of the excitotoxin and kynurenine pathway metabolite, quinolinic acid (QUIN), are found in the cerebrospinal fluid (CSF) of patients infected with the human immunodeficiency virus (HIV-1; Heyes et al., 1989), particularly among patients with the AIDS dementia complex, aseptic meningitis or opportunistic central nervous system conditions (Heyes et al., 1991a). QUIN is an agonist of *N*-methyl-D-aspartate receptors and an excitotoxin. Notably, the concentrations achieved in the CSF of HIV-1-infected patients (Heyes et al., 1991a) exceeded levels reported to be neurotoxic in vitro (Giulian et al., 1990; Whetsell and Schwarcz, 1989). Consequently, we have postulated that QUIN may be involved in the neurologic complications of HIV-1 infection, including

the AIDS dementia complex (Heyes et al., 1989, 1991a). The potential role of *N*-methyl-D-aspartate receptors in mediating neuronal damage in HIV-1-infection has been further highlighted by subsequent in vitro studies (Giulian et al., 1990; Lipton et al., 1991).

Other factors, however, may influence the neurologic effects of QUIN and other *N*-methyl-D-aspartate receptor agonists. In particular, the related kynurenine pathway metabolite kynurenic acid (KYNA) can attenuate the excitotoxic effects of QUIN by virtue of its antagonist effects on excitatory amino acid receptors, including *N*-methyl-D-aspartate receptors (Foster et al., 1984). Therefore, the balance between the concentrations of QUIN and KYNA may influence whether the excitotoxic effects of QUIN or other neurotoxins are manifest. The present study sought to determine whether the levels of KYNA are in-

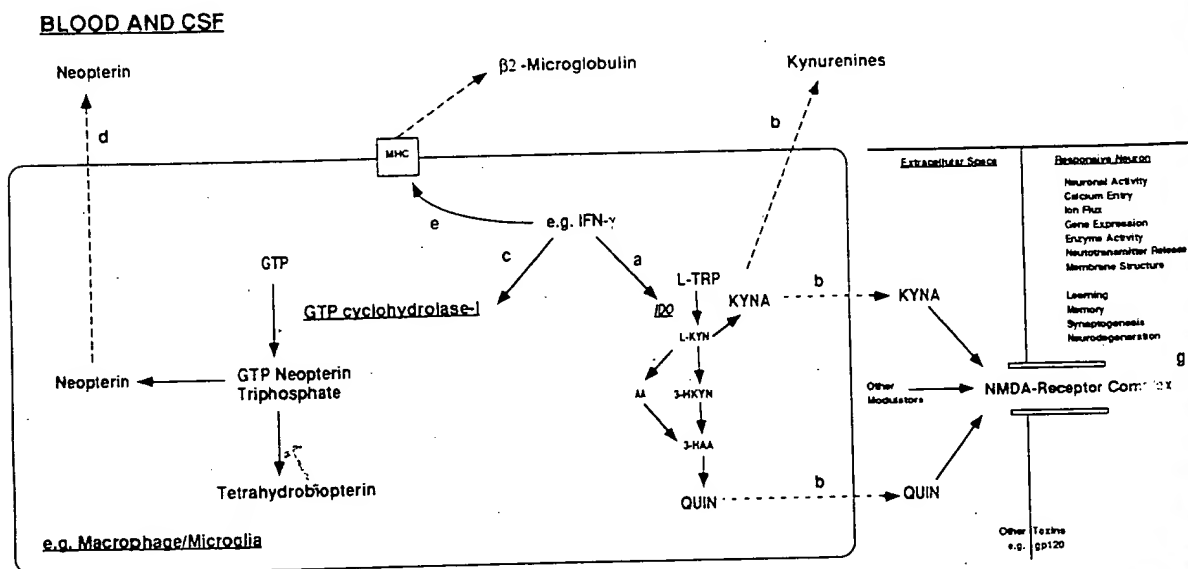


Fig. 1. Model of the metabolic relationships between selected cytokines, kynurenine pathway metabolites, neopterin and  $\beta_2$ -microglobulin and the potential role of *N*-methyl-D-aspartate (NMDA) in neuronal dysfunction and neurodegeneration in HIV-1 infection and other inflammatory diseases. Broken lines represent transfer of substrate from one metabolic compartment to another, solid lines represent metabolic reactions or effects of agents on enzymes or receptors. Enzymes are underlined. Interferon- $\gamma$  (IFN- $\gamma$ ) and other cytokines increase indoleamine-2,3-dioxygenase (IDO) activity in macrophages and other cells (a) and increase the conversion of L-tryptophan to L-kynurenine (L-KYN), KYNA, anthranilic acid (AA), 3-hydroxykynurenine (3-HKYN), 3-hydroxyanthranilic acid (3-HAA) and QUIN which may enter the blood, CSF and extracellular fluid space of the brain (b). IFN- $\gamma$  also activates guanosine triphosphate (GTP) cyclohydrolase-1 and increases the synthesis of neopterin (c) which also appears in the blood and CSF (d). The release of  $\beta_2$ -microglobulin is also increased by IFN- $\gamma$  (e). Increased concentrations of QUIN, kynurenic acid and other modulators of *N*-methyl-D-aspartate receptor activity may induce neuronal dysfunction and nerve cell death and thereby result in neurologic symptoms (g). Strategies to alter the synthesis of *N*-methyl-D-aspartate receptor ligands or attenuate their effects offer new approaches to therapy in inflammatory diseases.

creased in the  
ship of KYN-  
kynurenines i  
tients.

The increa  
HIV-1-infecte  
induction of  
first enzyme  
converts L-tr  
The increas  
doileamine-2  
primate mod  
hypothesis (S  
tigate the rol  
changing ky  
used the col  
kynurenine  
indoleamine-  
1990; Heyes  
1991a) and  
QUIN and im  
mune me  
mor necrosi  
2,3-dioxyge  
neopterin sy  
sion (Pfeffe  
1986; Bian  
Heyes et a  
relationship  
with neopt  
'markers' o  
potential r  
barrier was  
albumin ra

## Materials

### Subjects and

Sample  
lected fro  
being stud  
Cancer Ce  
vein and  
CSF was  
clinical ch  
described  
Heyes et  
these sut

et al., 1989, methyl-D-aspartate receptor damage in the hippocampus highlighted by et al., 1990,

influence the effect of *N*-methyl-D-aspartate receptor, the subtle kynurenic effects on the effects on including *N*-methyl-D-aspartate receptor concentration whether other neurotoxic effects on KYNA are increased in the CSF, and investigate the relationship of KYNA to QUIN and other neuroactive kynurenines in the CSF of HIV-1-infected patients.

The increases in CSF and serum QUIN in HIV-1-infected patients have been attributed to induction of indoleamine-2,3-dioxygenase, the first enzyme of the kynurenine pathway which converts L-tryptophan to L-kynurenine (Fig. 1). The increases in both brain and lung indoleamine-2,3-dioxygenase activity in non-human primate models of AIDS are consistent with this hypothesis (Saito et al., 1991a). To further investigate the role of indoleamine-2,3-dioxygenase in changing kynurenine pathway metabolism, we used the concentrations of L-tryptophan and L-kynurenine in blood and CSF as an index of indoleamine-2,3-dioxygenase activity (Fuchs et al., 1990; Heyes and Lackner, 1990; Saito et al., 1991a) and determined their relationships to QUIN and KYNA. In addition, because host immune mediators such as interferon- $\gamma$  and tumor necrosis factor- $\alpha$  may increase indoleamine-2,3-dioxygenase activity, QUIN production, neopterin synthesis, and  $\beta_2$ -microglobulin expression (Pfefferkorn and Guyre, 1984; Byrne et al., 1986; Bianchi et al., 1988; Saito et al., 1991b; Heyes et al., 1991, 1992a, b), we examined the relationship of kynurenine pathway metabolites with neopterin and  $\beta_2$ -microglobulin, which are 'markers' of immune stimulation (see Fig. 1). The potential role of disruption of the blood-brain barrier was studied by measuring the CSF:serum albumin ratio.

## Materials and methods

### Subjects studied

Samples of both CSF and serum were collected from HIV-1-infected patients who were being studied at the Memorial Sloan-Kettering Cancer Center. Blood was collected from an arm vein and serum was isolated by centrifugation. CSF was collected from the lumbar sac. The clinical characteristics of these patients have been described previously (Brew et al., 1989, 1990; Heyes et al., 1991a). The systemic disease state of these subjects was classified according to the

Walter Reed (WR) Staging system (WR 4-5,  $n = 40$ ; WR 6,  $n = 39$  (Redfield et al., 1986). AIDS dementia complex scores (0-4) were determined according to published criteria (Brew et al., 1989). The number of patients in each group were: demented, WR 4-5,  $n = 20$ ; WR 6,  $n = 28$ ; or not demented, WR 4-5,  $n = 20$ ; WR 6,  $n = 11$ . Patients were studied in various stages of systemic and central nervous system disease. None of the patients had clinical aseptic meningitis (Hollander and Stringari, 1987), demonstrable opportunistic central nervous system infections or neoplasms. Because the samples were obtained before the approval or widespread use of zidovudine (azidothymidine or AZT), none of the patients were receiving anti-retroviral therapies at the time of sample collection. Control subjects were 22 age-matched healthy and neurologically unimpaired volunteers.

### Biochemical measurements

Samples were assayed by experienced laboratory personnel, using established and verified methods, without prior knowledge to the patients' viral or clinical status. QUIN was quantified by electron capture negative chemical ionization gas chromatography/mass spectrometry which uses [ $^{18}\text{O}$ ]QUIN as internal standard, rather than structural isomers or chemical analogs (Heyes and Markey, 1988). The concentrations of KYNA, L-kynurenine and L-tryptophan in CSF and serum were quantified by high performance liquid chromatography with either fluorescence detection (Heyes and Quearry, 1990) or ultraviolet light absorbance spectrometry (adapted from Holmes, 1988) or electrochemical detection (Heyes and Markey, 1988) respectively. Generally, measures were made within the same assay run. However, where more than one assay run was done, selected samples from previous assays were included in subsequent procedures to ensure replicate values were within established and acceptable variability limits. In no case could group mean differences be attributed to systematic assay errors. In other studies, no gradients for QUIN, KYNA or L-kynurenine have been noted along the CSF axis (Mouradian et al., 1989; Heyes and Sunderland, unpublished observations).  $\beta_2$ -Microglobulin and neopterin were mea-

sured in CSF and serum by radioimmunoassay (Electronuclonics-Diagnostics, Piscataway NJ, and Henning, Berlin, respectively). The integrity of the blood-brain barrier was assessed by measuring the ratio of CSF:serum albumin concentrations (Brew et al., 1989). Measures of albumin, IgG and white blood cell counts were done by routine laboratory methods.

#### Statistical analyses

Results were analysed by one-way analysis of variance with Dunnett's *t*-test for multiple comparisons (Feldman et al., 1987). All regression analyses were done using the method of least squares after logarithmic transformation (Table 1). Non-parametric correlation coefficients were calculated as the Spearman Rank Correlation coefficient. Values presented are mean  $\pm$  one standard error of the mean or percent of control subjects unless otherwise stated.

#### Results

##### Relationships between L-kynurenine, L-tryptophan, KYNA and QUIN

The concentrations of CSF L-tryptophan, L-kynurenine, KYNA and QUIN concentrations as well as the ratio of QUIN:KYNA in HIV-1-infected patients are presented in Fig. 2. Systemic disease was classified as WR 4-5 or WR 6 (AIDS) and neurologic disease was classified as either not demented (AIDS dementia complex scores  $\leq 0.5$ ) or demented (AIDS dementia complex scores  $\geq 1$ ). Values are expressed as a percent of age-matched control subjects and plotted on a logarithmic scale. The increases in L-kynurenine, KYNA and QUIN were largest in both groups of demented patients compared to same stage non-demented patients. The increases in CSF QUIN in demented patients was approximately the same in the WR 4-5 patients as in the WR 6 patients. However, the highest ratio of QUIN:KYNA in the CSF was found in the demented WR 6 patients. In the HIV-1-infected patients taken collectively, there were significant inter-correlations between the concentrations of L-kynurenine, KYNA, QUIN, neopterin,  $\beta_2$ -microglobulin and IgG in the CSF (Table 1). In contrast, among the

TABLE 1

CORRELATION COEFFICIENTS BETWEEN QUIN, KYNA, L-KYN, L-TRP, NEOPTERIN AND  $\beta_2$ -MICROGLOBULIN IN HIV-1-INFECTED PATIENTS

	Correlation coefficient (r)	P-value
<b>CSF</b>		
log QUIN vs. log KYNA	+0.86	$P < 0.0001$
log QUIN vs. log L-kynurenine	+0.76	$P < 0.0001$
log QUIN vs. L-tryptophan	-0.40	$P < 0.005$
log QUIN vs. log neopterin	+0.71	$P < 0.0001$
log QUIN vs. log $\beta_2$ -microglobulin	+0.69	$P < 0.001$
log QUIN vs. log IgG	+0.46	$P < 0.005$
log KYNA vs. log L-kynurenine	+0.85	$P < 0.0001$
log KYNA vs. L-tryptophan	-0.50	$P < 0.01$
log KYNA vs. log neopterin	+0.79	$P < 0.0001$
log KYNA vs. log $\beta_2$ -microglobulin	+0.74	$P < 0.0001$
log KYNA vs. log IgG	+0.80	$P < 0.002$
log L-kynurenine vs. L-tryptophan	-0.41	$P < 0.02$
log L-kynurenine vs. log neopterin	+0.56	$P < 0.005$
log L-kynurenine vs. log $\beta_2$ -microglobulin	+0.58	$P < 0.0001$
log L-kynurenine vs. log IgG	0.34	$P < 0.05$
<b>Serum</b>		
log QUIN vs. log L-kynurenine	+0.75	$P < 0.0001$
log QUIN vs. L-tryptophan	-0.31	$P < 0.02$
log QUIN vs. log neopterin	+0.70	$P < 0.0001$
log QUIN vs. log $\beta_2$ -microglobulin	+0.68	$P < 0.0001$
L-KYN vs. L-tryptophan	-0.32	$P < 0.01$
L-KYN vs. log neopterin	+0.69	$P < 0.0001$
L-KYN vs. log $\beta_2$ -microglobulin	+0.54	$P < 0.0002$
L-Tryptophan vs. log neopterin	-0.43	$P < 0.001$
L-Tryptophan vs. log $\beta_2$ -microglobulin	-0.36	$P < 0.02$

WR 4-6 patients, there was an inverse correlation between CSF L-tryptophan with CSF QUIN, KYNA and L-kynurenine (Table 1).

There were no significant differences in serum QUIN, L-kynurenine or L-tryptophan between the four sub-groups of HIV-1-infected patients and the data were pooled for comparison to control subjects. The percent changes in the serum parameters were substantially less than the percent changes in the CSF. Serum L-kynurenine concentrations were increased in the WR 4-6 patients ( $4.14 \pm 0.25 \mu\text{M}$ ,  $n = 44$ ) compared to controls

( $2.19 \pm 0.19 \mu\text{M}$ ) increased substrate pathway. There were no significant differences between L-tryptophan concentrations and QUIN while there was a correlation between L-kynurenine and QUIN (Table 1). Serum L-kynurenine was increased in the WR 4-6

% of Control Subjects

Fig. 2. CSF L-tryptophan, L-kynurenine, KYNA and QUIN concentrations in HIV-1-infected patients with and without dementia (WR 4-5 and WR 6) compared to age-matched normal or reduced dementia (WR 4-5 and WR 6) conditions.  $P < 0.0001$  for L-kynurenine, KYNA, L-kynurenine:QUIN ratio, and QUIN.

( $2.19 \pm 0.19 \mu\text{M}$ ,  $P < 0.0001$ ), consistent with increased substrate flux through the kynurenine pathway. There was an inverse correlation between L-tryptophan and L-kynurenine concentrations and QUIN levels in the serum (Table 1), while there was a direct correlation in serum between L-kynurenine with QUIN concentrations (Table 1). Serum L-tryptophan levels were lower in the WR 4-6 patients compared to the controls

( $40.2 \pm 1.5 \mu\text{M}$ ,  $n = 107$  vs.  $70.9 \pm 6.9 \mu\text{M}$ ,  $P < 0.0001$ ). Although serum L-tryptophan levels influence brain L-tryptophan uptake (Fernstrom, 1983), there was no significant correlation between the concentrations of L-tryptophan in CSF and serum in the WR 4-6 patients ( $r = 0.21$ ,  $P = 0.12$ ). This may indicate that the central and systemic compartments are being influenced independently. There was a significant correlation

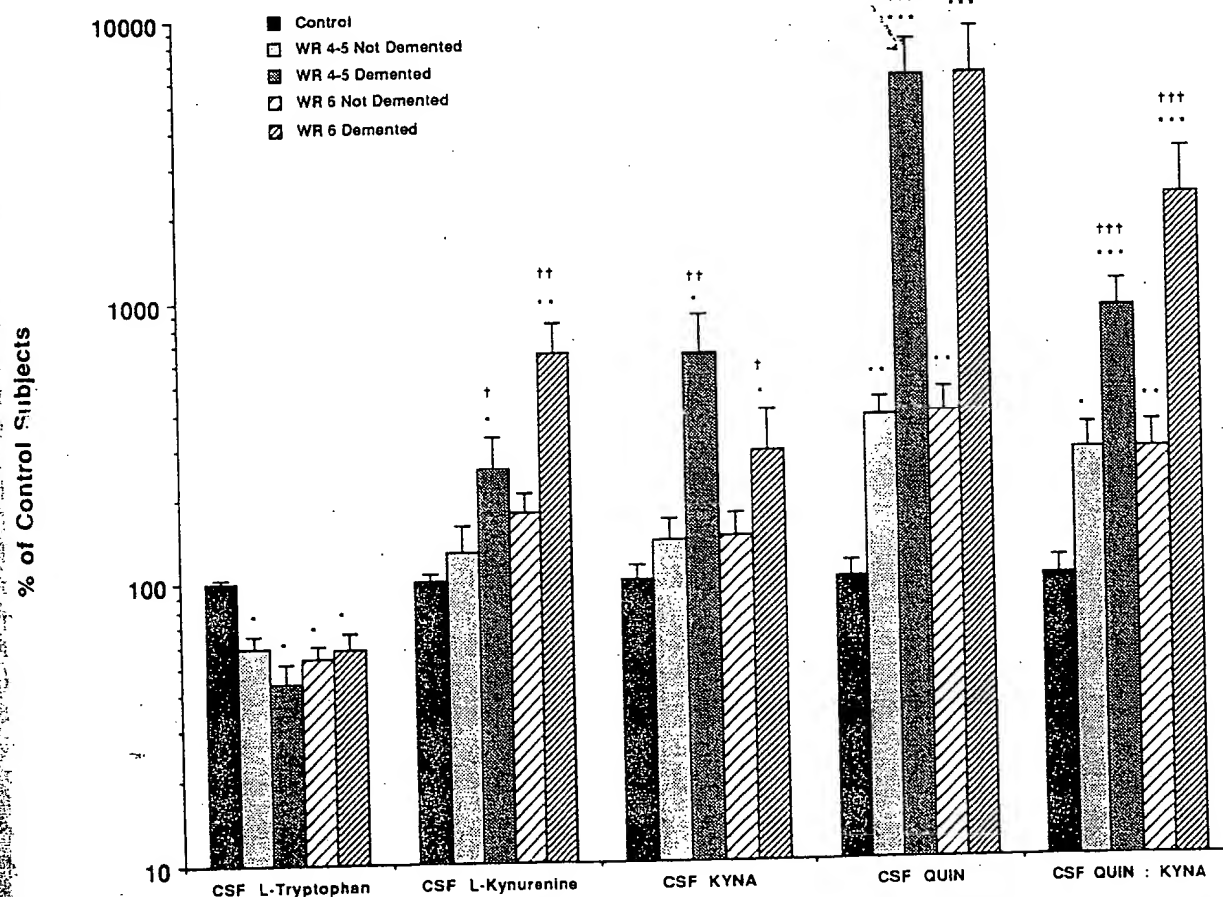


Fig. 2. CSF L-tryptophan, L-kynurenine, KYNA and QUIN concentrations in untreated HIV-1-infected patients who were either demented (WR 4-5,  $n = 20$ ; WR 6,  $n = 28$ ) or not demented (WR 4-5,  $n = 20$ ; WR 6,  $n = 11$ ). No patients had opportunistic CNS conditions, aseptic meningitis or were being treated with anti-retroviral drugs. Values presented are expressed as a percent of age-matched neurologically normal volunteers (L-tryptophan,  $2.32 \pm 0.09 \mu\text{M}$ ; L-kynurenine,  $52.9 \pm 3.1 \text{ nM}$ ; KYNA,  $3.49 \pm 0.44 \text{ nM}$  and QUIN  $22.0 \pm 3.3 \text{ nM}$ ). \*  $P < 0.05$ , \*\*  $P < 0.001$ , \*\*\*  $P < 0.005$ , \*\*\*\*  $P < 0.0001$  versus control; †  $P < 0.05$ , ††  $P < 0.005$ , †††  $P < 0.0001$  versus respective not demented WR stage. Note: there are no gender differences, and no gradients for QUIN, KYNA, L-kynurenine or L-tryptophan along the CSF axis. Furthermore, brain atrophy, neurodegeneration, dementia or motor disturbances cannot account for these increases as CSF L-tryptophan, L-kynurenine, KYNA and QUIN concentrations are either normal or reduced in patients with either Huntington's disease, Alzheimer's disease, complex partial seizures, bipolar and unipolar depression, bulimia nervosa, anorexia nervosa or schizophrenia (Heyes et al., Brain, in press).

WEEN QUIN,  
ID  $\beta_2$ -MICRO-  
JTS

on P-value  
it

$P < 0.0001$   
 $P < 0.0001$   
 $P < 0.005$   
 $P < 0.0001$   
 $P < 0.0001$   
 $P < 0.0005$   
 $P < 0.0001$   
 $P < 0.001$   
 $P < 0.0001$   
 $P < 0.0001$   
 $P < 0.002$   
 $P < 0.02$   
 $P < 0.005$

$P < 0.0001$   
 $P < 0.005$

$P < 0.0001$   
 $P < 0.002$   
 $P < 0.0001$   
 $P < 0.0001$   
 $P < 0.01$   
 $P < 0.0001$   
 $P < 0.0002$   
 $P < 0.001$   
 $P < 0.002$

inverse correla-  
h CSF QUIN,

ences in serum  
in between the  
l patients, and  
son to control  
the serum pa-  
an the percent  
renine concen-  
2 4-6 patients  
ed to controls



between CSF and serum L-kynurenine concentrations ( $r = 0.65$ ,  $P < 0.0001$ ).

*Relationships of kynurenine pathway metabolites to AIDS dementia complex scores*

As well as the significant correlations between CSF QUIN, neopterin and  $\beta_2$ -microglobulin with AIDS dementia complex scores noted previously (Brew et al., 1989, 1990; Heyes et al., 1991a), significant correlations between CSF L-kynurenine ( $P < 0.002$ ) and the CSF QUIN:KYNA ratio ( $P < 0.05$ ) with AIDS dementia complex scores were also noted.

*Relationships of kynurenine pathway metabolites to neopterin,  $\beta_2$ -microglobulin and blood-brain barrier integrity*

The inter-relationships between QUIN, KYNA and L-kynurenine with both neopterin,  $\beta_2$ -microglobulin and IgG are summarized in Table 1, and support a link between kynurenine pathway metabolism and immune stimulation within both the brain and periphery (Fig. 1). In CSF and serum, both neopterin and  $\beta_2$ -microglobulin concentrations were higher in the WR 4-6 patients compared to controls (see Brew et al., 1989, 1990). In the WR 4-6 patients, there were significant correlations between concentrations of neopterin,  $\beta_2$ -microglobulin and concentrations of CSF IgG with QUIN, KYNA and L-kynurenine.

There were no significant differences in the CSF:serum albumin ratio in the four groups of HIV-1-infected patients. There were also no significant correlations between CSF:serum albumin ratio with CSF QUIN, KYNA, L-kynurenine or L-tryptophan, except the modest correlation with CSF QUIN noted only in demented patients taken collectively (see Heyes et al., 1991a). CSF white blood cell counts were  $< 10$  cells/ml in 93% of samples studied and there were no correlations between CSF QUIN, KYNA, L-kynurenine or L-tryptophan with CSF white blood cell counts.

Serum QUIN and L-kynurenine levels were significantly correlated with both serum  $\beta_2$ -microglobulin and neopterin in the WR 4-6 patients. Conversely, serum L-tryptophan levels were inversely correlated with serum QUIN, L-kynurenine,  $\beta_2$ -microglobulin and neopterin concentrations.

## Discussion

QUIN and other putative activators of N-methyl-D-aspartate receptors have been implicated in the etiology of HIV-1 neurologic disease (Heyes et al., 1988, 1989, 1991a; Giulian et al., 1990; Lipton et al., 1991). Importantly, QUIN has also been proposed as a neurotoxin in other inflammatory neurologic diseases, because of the sensitivity of indoleamine-2,3-dioxygenase to activation by endotoxin and interferon- $\gamma$ , and because substantial increases in CSF QUIN concentrations are found in patients with inflammatory neurologic disease (Takikawa et al., 1986, 1988; Heyes et al., 1988; Heyes and Lackner, 1990; Saito et al., 1991b; Halperin and Heyes, 1992). The purpose of the present study was to determine whether the CSF levels of KYNA, a modulator of QUIN neurotoxicity, are also increased in HIV-1-infected patients. We also investigated potential mechanisms that may be involved in increasing QUIN synthesis. The results demonstrate that the substantial increases in CSF QUIN levels in HIV-1-infected patients are accompanied by parallel increases in KYNA. The results strongly support activation of indoleamine-2,3-dioxygenase in direct proportion to the degree of intrathecal immune activation.

Studies in experimental animals have shown that KYNA can protect neurons against the excitotoxic effects of QUIN. However, a ratio of up to 3:1 in favor of KYNA is needed for maximal protection (Boegman et al., 1990; Foster et al., 1984). While it is not known whether this relationship between QUIN, KYNA and excitotoxicity applies to humans, it is of note that the ratio of QUIN:KYNA actually favors QUIN in normal subjects (8.71) and is further increased in the HIV-1 infected patients (Fig. 2). Analogous increases in the ratio of QUIN:KYNA have also been noted in primate models of AIDS and septicemia (Heyes et al., 1990a, b, 1992; Heyes and Lackner, 1990). Therefore, the ratio of QUIN:KYNA favours QUIN excitotoxicity. Also, while increases in brain KYNA levels may be viewed as potentially beneficial in attenuating the excitotoxic effects of QUIN and other excitotoxins, it is possible that KYNA as an antagonist of excitatory amino acid neurotransmitters may con-

tribute to the neurotatory amino acid r  
vation. QUIN may  
neurotransmission  
logic deficits by a r

A model of pos  
in CSF and serum  
well as other con  
also applies to the  
L-kynurenine (Fig.  
et al., 1990a, 1991  
KYNA may be d  
had been taken u  
blood (Gal and  
Quearry, 1990). o  
(Heyes and Quea  
tion of indolear  
creases in the  
oxygenase in bot  
infected with the  
or the type-D ret  
systemic and cent  
Saito et al., 199  
the present study  
QUIN and L-kyn  
fected patients.  
subjects, is in a  
indoleamine-2,3-  
Further, the pos  
levels of L-KYN  
creased substrat  
pathway within  
that the magnit  
kynurenine and  
than the increa  
has been notec  
conditions (Halp  
Lackner, 1990;  
Nevertheless, su  
be important s  
and QUIN, pai  
immune activat  
septicemia (He  
The model (C  
are a principle  
1991a). Infiltra  
microglia are a  
feature of HIV  
many other c

tribute to the neurologic deficits by blocking excitatory amino acid receptors during immune activation. QUIN may also interfere with excitatory neurotransmission and thereby produce neurologic deficits by a non-cytolytic mechanism.

A model of possible mechanisms for increases in CSF and serum QUIN in HIV-1 infection, as well as other conditions of immune activation, also applies to the increases in CSF KYNA and L-kynurenine (Fig. 1; Takikawa et al., 1986; Heyes et al., 1990a, 1991a; Heyes and Lackner, 1990). KYNA may be derived from L-kynurenine that had been taken up either by the brain from the blood (Gal and Sherman, 1980; Heyes and Quearry, 1990), or synthesized within the brain (Heyes and Quearry, 1990) secondary to activation of indoleamine-2,3-dioxygenase. The increases in the activity of indoleamine-2,3-dioxygenase in both lung and brain of macaques infected with the Simian immunodeficiency virus or the type-D retrovirus are consistent with both systemic and central synthesis (Heyes et al., 1990b; Saito et al., 1991a). The inverse correlations in the present study between L-tryptophan with both QUIN and L-kynurenine in the CSF of HIV-1-infected patients, but not in the CSF of control subjects, is in accordance with increased brain indoleamine-2,3-dioxygenase activity (Table 1). Further, the positive correlations between CSF levels of L-KYN, KYNA and QUIN support increased substrate flux through the kynurenine pathway within the CNS (Table 1). It is of note that the magnitude of the increases in CSF L-kynurenine and QUIN are substantially greater than the increases in serum. This phenomenon has been noted in other inflammatory disease conditions (Halperin and Heyes, 1992; Heyes and Lackner, 1990; Heyes et al., 1990a, b, 1992a). Nevertheless, substrates derived from blood may be important sources of L-kynurenine, KYNA and QUIN, particularly if the levels of systemic immune activation is marked, for example during septicemia (Heyes and Lackner, 1990).

The model (Fig. 1) proposes that macrophages are a principle source for QUIN (Heyes et al., 1991a). Infiltrates of macrophages and reactive microglia are a well-established neuropathologic feature of HIV-1 infection, and are also found in many other conditions of CNS inflammation.

Macrophages convert [ $^{13}\text{C}_6$ ]-L-tryptophan to [ $^{13}\text{C}_6$ ]-QUIN, particularly when stimulated with interferon- $\gamma$ , and the concentrations achieved in the incubation medium (24  $\mu\text{M}$ ) exceed those noted in the CSF of HIV-1-infected patients (up to 15  $\mu\text{M}$ ; Heyes et al., 1992b; Brew and Heyes, unpublished observations). This observation demonstrates that macrophages contain the enzymes necessary to convert L-tryptophan to QUIN. Consequently, it is likely that the activity of other enzymes of the kynurenine pathway are also increased following intracerebral immune activation and macrophage infiltration. Other cells may also convert precursors to QUIN, including astrocytes, which contain 3-hydroxyanthranilate-3,4-dioxygenase (Okuno et al., 1987). The accumulation of QUIN may also reflect the relatively low activity of quinolinic acid phosphoribosyltransferase, the degradation enzyme for QUIN (Foster et al., 1985).

Both indoleamine-2,3-dioxygenase and GTP cyclohydrolase I activity are increased by interferon- $\gamma$ , tumor necrosis factor- $\alpha$  and other cytokines in macrophages and other cell types (Fig. 1; Niederwieser et al., 1986; Bianchi et al., 1988; Fuchs et al., 1988; Heyes et al., 1992b). Therefore, strong inter-correlations between QUIN, KYNA, L-tryptophan and L-kynurenine with neopterin,  $\beta_2$ -microglobulin and IgG concentrations in the CSF support a link between indoleamine-2,3-dioxygenase induction with intrathecal inflammatory responses (Table 1; Fuchs et al., 1990; Heyes et al., 1991b, 1992a). These correlations also suggest increased interferon- $\gamma$  activity within the central nervous system (Griffin et al., 1991). There was minimal evidence that the group increases in CSF QUIN, KYNA or L-kynurenine could be attributed to disruption of the blood-brain barrier. Similar conclusions have been drawn regarding the source of elevated neopterin and  $\beta_2$ -microglobulin in CSF (Brew et al., 1989, 1990; Griffin et al., 1991).

Dietary L-tryptophan intake was not regulated or quantified in the present study and we cannot exclude the possibility that at least some of the reductions in serum and CSF L-tryptophan concentrations were diet-dependent. However, reduced L-tryptophan intake would be expected to either decrease not only L-tryptophan levels but



also L-kynurenine, KYNA and QUIN concentrations. The reductions in CSF L-tryptophan levels were independent of blood L-tryptophan concentrations, and indicate that the central and systemic L-tryptophan compartments are influenced separately, such as by different local indoleamine-2,3-dioxygenase activities in central nervous system and systemic tissues. The uptake of L-tryptophan into the brain may have also been influenced by changes in the concentrations of large neutral amino acids in the blood of HIV-1-infected patients (Fernstrom, 1983). The levels of large neutral amino acids are reduced in some HIV-1-infected patients (Althoff et al., 1989), which would promote L-tryptophan entry into the CNS (Fernstrom, 1983). Therefore, these observations argue in favor of a role for indoleamine-2,3-dioxygenase induction in accelerating the conversion of L-tryptophan to L-kynurenine, KYNA and QUIN. Depletion of L-tryptophan may reduce the synthesis of serotonin and other indoleamines (Larsson et al., 1989; Heyes et al., 1990a), as well as interfere with the metabolism of protein in both systemic and central nervous system tissues.

While it is clear that induction of indoleamine-2,3-dioxygenase, the depletion of L-tryptophan and increased substrate flux through the kynurenine pathway are associated with immune activation, the reason for this response remains to be established. The magnitude of the increases in kynurenine pathway metabolism, particularly within the central nervous system, and the widespread circumstances in which it occurs, indicate that the reasons and consequences are not trivial. There are arguments that such responses may be both beneficial as well as detrimental. On the positive side, studies *in vitro* have suggested that activation of indoleamine-2,3-dioxygenase and depletion of intracellular L-tryptophan may be one mechanism by which interferon- $\gamma$  exerts anti-microbial and anti-proliferative effects on some intracellular parasites and tumor cells (Pfefferkorn and Guyre, 1984; Byrne et al., 1986; Takikawa et al., 1988), but not on others (Turco and Winkler, 1986; Takikawa et al., 1988). Also, the reactions catalysed by indoleamine-2,3-dioxygenase metabolize potentially toxic oxygen-free radicals (Daley-Yates et al., 1988; Siesjo et

al., 1989; Sono, 1989). Conversely, depletion of L-tryptophan may impair protein synthesis and indoleamine metabolism. The production of potentially neurotoxic kynurenine pathway metabolites, including QUIN, L-kynurenine and KYNA, may be another detrimental consequence of indoleamine-2,3-dioxygenase induction. At this point in time, it is not possible to state where the balance between beneficial versus detrimental consequences lies.

Indoleamine-2,3-dioxygenase induction and production of kynurenine pathway metabolites occur in a wide spectrum of immune stimulation (Pfefferkorn and Guyre, 1984; Byrne et al., 1986; Takikawa et al., 1986; Werner et al., 1987, 1989; Bianchi et al., 1988; Heyes and Lackner, 1990; Heyes et al., 1988, 1990b, 1992; Saito et al., 1991a, b). In view of the neuroactive nature of kynurenine pathway metabolites, we propose that such compounds may be final common mediators of neuronal dysfunction and death in inflammatory neurologic disease. This disruption would include functions mediated via N-methyl-D-aspartate receptors, such as learning, memory and synaptic plasticity (Morris et al., 1987). Therefore, strategies to attenuate the neurotoxic effects of QUIN (without disrupting N-methyl-D-aspartate receptor function), or reducing the synthesis of neuroactive kynurenine pathway metabolites, may offer new approaches to therapy of the neurologic deficits associated with HIV-1 infection. Notably, such strategies may also be of benefit in other inflammatory neurologic diseases.

#### Acknowledgements

We appreciate the assistance of M. Paul and H. Gallardo. This study was supported in part by US Public Health Service Research Grant NS-25701, a grant from the Rudin Foundation, US Army Medical R & D Command project 87PP7856, the Henry M. Jackson Foundation and the Walter Reed Retrovirus Research Group.

#### References

- Althoff, P.-H., Schifferdecker, E., Forster, H., Michels, B., Hunold, P., St. Klauke, A., Flakenbach, E. and Helm, K.

(1989) 1  
with All  
solution  
real Ab  
Bianchi, M.  
indoleam  
with di  
tokines.  
Boegman,  
Neuroto  
Sci. 585  
Brew, B.J.,  
Schwar  
B2 mi  
immune  
Brew, B.J.,  
J.C., Sc  
fluid n  
infectio  
Byrne, G.,  
tryptop  
feron- $\gamma$   
psittaci  
351.  
Daley-Yat  
monar  
icance  
oxidat  
Feldman,  
(1987)  
Fernstrom  
trol of  
484-5  
Foster, A  
(1984)  
induc  
acid.  
Foster, A  
Quinc  
rat bi  
mate-  
Fuchs, D  
M.P.  
activ  
fectio  
Fuchs, E  
E.R.  
in pa  
serui  
toms  
Gal, E.I  
thesi  
rich  
Giulian,  
neur  
HIV  
Griffin,  
Neo

depletion of synthesis and production of pathway metabolites and KYNA, sequence of infection. At this rate where the is detrimental

induction and by metabolites. The stimulation of ne et al., 1986; al., 1987, 1989; Lackner, 1990; Saito et al., the neurotoxic nature of the propose that mon mediators in inflammation would N-methyl-D-ning, memory et al., 1987). the neurotoxic ting N-methyl- r reducing the nine pathway ches to therapy ed with HIV-1 may also be of neurologic dis-

of M. Paul and orted in part by rch Grant NS- oundation. US mand project oundation and arch Group.

er, H., Michels, B., h, E. and Helm, K.

- (1989) Imbalance of the amino acids pattern in patients with AIDS — special treatment with adapted amino acid solution? Fifth International Conference of AIDS. Montreal Abstr. No. Th.B.O. 42., 218.
- Bianchi, M., Bertini, R. and Ghezzi, P. (1988) Induction of indoleamine dioxygenase by interferon in mice: a study with different recombinant interferons and various cytokines. *Biochem. Biophys. Res. Commun.* 152, 237–242.
- Boegman, R.J., Jhamandas, K. and Beninger, R.J. (1990) Neurotoxicity of tryptophan metabolites. *Ann. N.Y. Acad. Sci.* 585, 261–273.
- Brew, B.J., Bhalla, R.B., Fleisher, M., Paul, M., Khan, A., Schwartz, M.K. and Price, R.W. (1989) Cerebrospinal fluid B2 microglobulin in patients infected with human immunodeficiency virus. *Neurology* 39, 830–834.
- Brew, B.J., Bhalla, R.B., Paul, M., Gallardo, H., McArthur, J.C., Schwartz, M.K. and Price, R.W. (1990) Cerebrospinal fluid neopterin in human immunodeficiency virus type-1 infection. *Ann. Neurol.* 28, 556–560.
- Byrne, G., Lehmann, L. and Landry, G. (1986) Induction of tryptophan catabolism is the mechanism for gamma-interferon-mediated inhibition of intracellular *Chlamydia psittaci* replication in T24 cells. *Infect. Immun.* 53, 347–351.
- Daley-Yates, P.T., Powell, A.P. and Smith, L.L. (1988) Pulmonary indoleamine 2,3-dioxygenase activity and its significance in the responses of rats, mice, and rabbits to oxidative stress. *Toxicol. Appl. Pharmacol.* 96, 222–232.
- Feldman, D.S., Gagnon, J., Hofmann, R. and Simpson, J. (1987) Statview II. Abacus Concepts, Berkeley, CA.
- Fernstrom, J.D. (1983) Role of precursor availability in control of monoamine biosynthesis in brain. *Physiol. Rev.* 63, 484–546.
- Foster, A.C., Vezzani, A., French, E.D. and Schwarcz, R. (1984) Kynurenic acid blocks neurotoxicity and seizures induced in rats by the related brain metabolite quinolinic acid. *Neurosci. Lett.* 48, 273–278.
- Foster, A., Whetsell, W.O., Bird, E. and Schwarcz, R. (1985) Quinolinic acid phosphoribosyltransferase in human and rat brain: Activity in Huntington's disease and in quinolinic acid-lesioned rat striatum. *Brain Res.* 336, 207–214.
- Fuchs, D., Hausen, A., Reibnegger, G., Werner, E.R., Dierich, M.P. and Wachter, H. (1988) Neopterin as a marker for activated cell-mediated immunity: application in HIV infection. *Immunol. Today* 9, 150–155.
- Fuchs, D., Moller, A.A., Reibnegger, G., Stockle, E., Werner, E.R. and Wachter, H. (1990) Decreased serum tryptophan in patients with HIV-1 infection correlates with increased serum neopterin and with neurologic/psychiatric symptoms. *J. Acquir. Immune Defic. Syndr.* 3, 873–876.
- Gal, E.M. and Sherman, A.D. (1980) L-Kynurenine: Its synthesis and possible regulatory function in brain. *Neurochem. Res.* 5, 223–239.
- Giuliani, D., Vaca, K. and Noonan, C.A. (1990) Secretion of neurotoxins by mononuclear phagocytes infected with HIV-1. *Science* 250, 1593–1596.
- Griffin, D.E., McArthur, J.C. and Cornblath, D.R. (1991) Neopterin and interferon-gamma in serum and cerebrospinal fluid of patients with HIV-associated neurologic disease. *Neurology* 41, 69–74.
- Halperin, J.J. and Heyes, M.P. (1992) Neuroactive kynurenines in Lyme borreliosis. *Neurology* 42, 43–50.
- Heyes, M.P. and Lackner, A. (1990) Increased cerebrospinal fluid quinolinic acid, kynurenic acid and L-kynurenine in acute septicemia. *J. Neurochem.* 55, 338–341.
- Heyes, M.P. and Markey, S.P. (1988) Quantification of quinolinic acid in rat brain, whole blood and plasma by gas chromatography and negative chemical ionization mass spectrometry: Effects of systemic L-tryptophan administration on brain and blood quinolinic acid concentrations. *Anal. Biochem.* 174, 349–359.
- Heyes, M.P. and Quearry, B.J. (1990) Quantification of kynurenic acid in cerebrospinal fluid: effects of systemic and central L-kynurenine administration. *J. Chromatogr.* 530, 108–115.
- Heyes, M.P., Kim, P. and Markey, S.P. (1988) Systemic lipopolysaccharide and pokeweed mitogen increase quinolinic acid content of mouse cerebral cortex. *J. Neurochem.* 51, 1946–1948.
- Heyes, M.P., Rubinow, D., Lane, C. and Markey, S.P. (1989) Cerebrospinal fluid quinolinic acid concentrations are increased in acquired immune deficiency syndrome. *Ann. Neurol.* 26, 275–277.
- Heyes, M.P., Mefford, I.N., Quearry, B.J., Dedhia, M. and Lackner, A.A. (1990a) Increased ratio of quinolinic acid to kynurenic acid in cerebrospinal fluid of D-retrovirus infected rhesus macaques: Relationship to clinical and viral status. *Ann. Neurol.* 27, 666–675.
- Heyes, M.P., Saito, K., Gravell, M., Jordan, E.K., Lackner, A., Smith, M. and Markey, S.P. (1990b) Increased ratio of quinolinic acid to kynurenate and increased indoleamine-2,3-dioxygenase activity in both SRV-D and SIV-infected macaques. *Soc. Neurosci.* 16 (Abstract No. 128.12) 289.
- Heyes, M.P., Brew, B.J., Martin, A., Price, R.W., Salazar, A.M., Sidtis, J.J., Yergey, J.A., Mouradian, M.M., Sadler, A.E., Keilp, J., Rubinow, D. and Markey, S.P. (1991a) Quinolinic acid in cerebrospinal fluid and serum in HIV-1 infection: relationship to clinical and neurologic status. *Ann. Neurol.* 29, 202–209.
- Heyes, M.P., Lackner, A., Kaufman, S. and Milstien, S. (1991b) Cerebrospinal fluid and serum neopterin and biopterin in D-retrovirus-infected rhesus macaques (*Macaca mulatta*): relationship to clinical and viral status. *Aids* 5, 555–560.
- Heyes, M.P., Jordan, E.K., Lee, K., Saito, K., Frank, J.A., Snoy, P.J., Markey, S.P. and Gravell, M. (1992a) Relationship of neurologic status in macaques infected with the simian immunodeficiency virus to cerebrospinal fluid and serum quinolinic acid and kynurenic acid. *Brain Res.* 570, 237–250.
- Heyes, M.P., Saito, K. and Markey, S.P. (1992b) Human macrophages convert L-tryptophan to the neurotoxin quinolinic acid. *Biochem. J.* 283, 633–635.
- Hollander, H. and Stringari, S. (1987) Human immunodeficiency virus-associated meningitis: Clinical course and correlations. *Am. J. Med.* 83, 813–816.
- Holmes, E.W. (1988) Determination of serum kynurenine and

- hepatic tryptophan dioxygenase activity by high-performance liquid chromatography. *Anal. Biochem.* 172, 518-525.
- Larsson, M., Hagberg, L., Norkrans, G. and Forsman, A. (1989) Indole amine deficiency in blood and cerebrospinal fluid from patients with human immunodeficiency virus infection. *J. Neurosci. Res.* 23, 441-446.
- Lipton, S.A., Sucher, N.J., Kaiser, P.K. and Dreyer, E.B. (1991) Synergistic effects of HIV coat protein and NMDA-receptor mediated neurotoxicity. *Neuron* 7, 111-118.
- Morris, R.G., Hagan, J.J., Nadel, L., Jensen, J., Baudry, M. and Lynch, G.S. (1987) Spatial learning in the rat: impairment induced by the thiol-proteinase inhibitor, leupeptin, and an analysis of [<sup>3</sup>H]glutamate receptor binding in relation to learning. *Behav. Neural. Biol.* 47, 333-345.
- Mouradian, M.M., Heyes, M.P., Pan, J.-B., Heuser, I.J.E., Markey, S.P. and Chase, T.N. (1989) No changes in central quinolinic acid levels in Alzheimer's disease. *Neurosci. Lett.* 105, 233-238.
- Niederwieser, A., Joller, P., Seger, R., Blau, N., Prader, A., Bettex, J.D., Luthy, R., Hirschel, B., Schaedelin, J. and Vetter, U. (1986) Neopterin in AIDS, other immunodeficiencies, and bacterial and viral infections. *Klin. Wochenschr.* 64, 333-337.
- Okuno, E., Kohler, C. and Schwarcz, R. (1987) Rat 3-hydroxyanthranilic acid oxygenase: Purification from the liver and immunocytochemical localization in the brain. *J. Neurochem.* 49, 771-780.
- Pfefferkorn, E.R. and Guyre, P.M. (1984) Inhibition of growth of *Toxoplasma gondii* in cultured fibroblasts by human recombinant gamma interferon. *Infect. Immun.* 44, 211-216.
- Redfield, R.R., Wright, D.C. and Tramont, E.C. (1986) The Walter Reed staging classification for HTLV-III/LAV infection. *N. Engl. J. Med.* 314, 131-132.
- Saito, K., Lackner, A., Markey, S.P. and Heyes, M.P. (1991a) Cerebral cortex and lung indoleamine-2,3-dioxygenase activity is increased in type-D retrovirus infected macaques. *Brain Res.* 540, 353-356.
- Saito, K., Markey, S.P. and Heyes, M.P. (1991b) Chronic effects of gamma-interferon on quinolinic acid and indoleamine-2,3-dioxygenase in brain of C57BL/6 mice. *Brain Res.* 546, 151-154.
- Siesjö, B.K., Agardh, C.D. and Bengtsson, F. (1989) Free radicals and brain damage. *Cerebrovasc. Brain. Metab. Rev.* 1, 165-211.
- Sono, M. (1989) The roles of superoxide anion and methylene blue in the reductive activation of indoleamine-2,3-dioxygenase by ascorbic acid or by xanthine oxidase-hypoxanthine. *J. Biol. Chem.* 264, 1616-1622.
- Takikawa, O., Yoshida, R., Kido, R. and Hayaishi, O. (1986) Tryptophan degradation in mice initiated by indoleamine-2,3-dioxygenase. *J. Biol. Chem.* 261, 3648-3653.
- Takikawa, O., Kuroiwa, T., Yamazaki, F. and Kido, R. (1988) Mechanism of interferon-gamma action. Characterization of indoleamine 2,3-dioxygenase in cultured human cells induced by interferon-gamma and evaluation of the enzyme-mediated tryptophan degradation in its anticellular activity. *J. Biol. Chem.* 263, 2041-2048.
- Turco, J. and Winkler, H.H. (1986) Gamma-interferon-induced inhibition of the growth of *Rickettsia prowazekii* in fibroblasts cannot be explained by the degradation of tryptophan or other amino acids. *Infect. Immun.* 53, 38-46.
- Werner, E.R., Bitterlich, G., Fuchs, D., Hausen, A., Reibnegger, G., Szabo, G., Dierich, M.P. and Wachter, H. (1987) Human macrophages degrade tryptophan upon induction by interferon-gamma. *Life Sci.* 41, 273-280.
- Werner, E.R., Werner-Felmayer, G., Fuchs, D., Hausen, A., Reibnegger, G. and Wachter, H. (1989) Parallel induction of tetrahydrobiopterin biosynthesis and indoleamine-2,3-dioxygenase activity in human cells and cell lines by  $\gamma$ -interferon. *Biochem. J.* 262, 861-866.
- Whetsell, W.O. and Schwarcz, R. (1989) Prolonged exposure to submicromolar concentrations of quinolinic acid causes excitotoxic damage in organotypic cultures of rat corticostriatal system. *Neurosci. Lett.* 97, 271-275.

JN1 02228

## Ovalbumin or

a Section of Ph

Key words: Antibody response

## Summary

The magnitude of the antibody response via cerebral immunized rats: immunization, immunogenicity, the antibody response in the CNS, since ovalbumin cervical nodes

## Introduction

Previous studies have shown that antigens yield introduced into conventional, 1965; Sarason's contribution of CSF-antigen identified. No antigens will

Correspondence: R. L. ... Providence, RI